

FORM PTO-1390 (Modified)  
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES**  
**DESIGNATED/ELECTED OFFICE (DO/EO/US)**  
**CONCERNING A FILING UNDER 35 U.S.C. 371**

602-1558

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

10/030611

INTERNATIONAL APPLICATION NO.

PCT/GB00/02624

INTERNATIONAL FILING DATE

July 7, 2000

PRIORITY DATE CLAIMED

July 9, 1999

TITLE OF INVENTION

Centrifugal Sample Evaporator With Direct-Heat Shield and Uniform Heating

APPLICANT(S) FOR DO/EO/US

Michael Cole

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☐ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made, however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☐ A copy of the International Search Report (PCT/ISA/210).

## Items 13 to 20 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☐ Certificate of Mailing by Express Mail
23. ☐ Other items or information:

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.51) <b>10/030611</b>	INTERNATIONAL APPLICATION NO. <b>PCT/GB00/02624</b>	ATTORNEY'S DOCKET NUMBER <b>602-1558</b>
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24. The following fees are submitted:

**BASIC NATIONAL FEE ( 37 CFR 1.492 (a) (1) - (5) ) :**

- |  |                  |
|--|------------------|
| <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO . | <b>\$1040.00</b> |
| <input checked="" type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO  | <b>\$890.00</b>  |
| <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO .  | <b>\$740.00</b>  |
| <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) . . .   | <b>\$710.00</b>  |
| <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) .   | <b>\$100.00</b>  |

**ENTER APPROPRIATE BASIC FEE AMOUNT =****\$890.00**Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e))**\$0.00**

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	13 - 20 =	0	x \$18 00
Independent claims	3 - 3 =	0	x \$84 00

**\$0.00****\$0.00**Multiple Dependent Claims (check if applicable) ☐**\$0.00****TOTAL OF ABOVE CALCULATIONS =****\$890.00**

- ☐
- Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2.

**\$0.00****SUBTOTAL =****\$890.00**Processing fee of **\$130.00** for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)) +**\$0.00****TOTAL NATIONAL FEE =****\$890.00**Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). ☐**\$0.00****TOTAL FEES ENCLOSED =****\$890.00**

Amount to be: refunded	\$
charged	\$

- a. ☒ A check in the amount of **\$890.00** to cover the above fees is enclosed
- b. ☐ Please charge my Deposit Account No \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees  
A duplicate copy of this sheet is enclosed
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No **12-0913** A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card **WARNING:** Information on this form may become public **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO

William M. Lee, Jr.  
LEE, MANN, SMITH, MCWILLIAMS  
SWEENEY & OHLSON  
P. O. Box 2786  
Chicago, IL 60690-2786

SIGNATURE

William M. Lee, Jr.

NAME

26,935

REGISTRATION NUMBER

01-07-02

DATE

10039611041502  
107030611  
531 Rec'd PCT/ 07 JAN 2002

602-1558

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE THE APPLICATION OF )  
Michael Cole ) Examiner:  
SERIAL NO.: )  
FILED: ) Group Art Unit:  
FOR: CENTRIFUGAL SAMPLE EVAPORATOR )  
WITH DIRECT-HEAT SHIELD AND )  
UNIFORM HEATING )

**AMENDMENT ACCOMPANYING APPLICATION**

Honorable Director for Patents  
and Trademarks  
Washington, D.C. 20231

Dear Sir:

The present application is the national filing of International Application No. PCT/GB00/02624. Before calculation of the national filing fee for the United States, it is requested that the application be amended as follows:

In the claims:

Amend claims 3-11 as follows:

3. (amended). A sample holder according to either claim 1 which is formed from aluminium.

4. (amended). A sample holder according to claim 1 of which the cross-section is selected so that in use no appreciable temperature gradients exist therein during evaporation.

5. (amended). A sample holder according to claim 1 having sample containers mounted directly therein.

6. (amended). A sample holder according to claim 1 in which the sample containers are mounted in one or more intermediate holders which in turn are mounted in recesses in the sample holder.

7. (amended). A sample holder according to claim 1 in which the sample containers are held at a fixed angle to the vertical between 35° and 65° and orientated such that the force exerted on the contents when the centrifugal evaporator rotates them tends to retain the contents in the containers.

8. (amended). A sample holder according to claim 1 in combination with at least one other sample holder to form a stack with a spacing member between adjoining holders in good thermal contact therewith, so that the temperature of one holder will tend to be the same as the temperature of the other.

9. (amended). A sample holder according to claim 1 of which the heating means is a source of radiant heat.

10. (amended). A sample holder according to claim 1 of which the heating means is an RF induction heater or a source of microwave energy.

11. (amended). A centrifugal evaporator incorporating a sample holder according to claim 1.

Cancel claims 14 and 15 without prejudice, as the claims are not in accordance with U.S. practice.

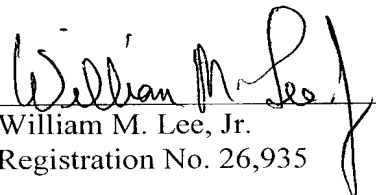
**REMARKS**

The above amendments are being made in order to eliminate multiple dependency and improper multiple dependency from the application, as well as delete two claims which are not in accordance with U.S. practice. Should any multiple dependency remain, that is unintended, and the Patent and Trademark Office is requested to cancel any remaining multiple dependent claim without prejudice before calculation of the application filing fee.

Examination of the application on its merits is awaited.

Dated: January 7, 2002

Respectfully submitted,

  
William M. Lee, Jr.  
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**Version With Markings To Show Changes Made**

3. (amended). A sample holder according to either claim 1 [or claim 2] which is formed from aluminium.
4. (amended). A sample holder according to [any one of the preceding claims] claim 1 of which the cross-section is selected so that in use no appreciable temperature gradients exist therein during evaporation.
5. (amended). A sample holder according to [any one of the preceding claims] claim 1 having sample containers mounted directly therein.
6. (amended). A sample holder according to [any one of claims 1 to 4] claim 1 in which the sample containers are mounted in one or more intermediate holders which in turn are mounted in recesses in the sample holder.
7. (amended). A sample holder according to [any one of the preceding claims] claim 1 in which the sample containers are held at a fixed angle to the vertical between 35° and 65° and orientated such that the force exerted on the contents when the centrifugal evaporator rotates them tends to retain the contents in the containers.
8. (amended). A sample holder according to [any one of the preceding claims] claim 1 in combination with at least one other sample holder to form a stack with a spacing member between adjoining holders in good thermal contact therewith, so that the temperature of one holder will tend to be the same as the temperature of the other.
9. (amended). A sample holder according to [any one of the preceding claims] claim 1 of which the heating means is a source of radiant heat.

10. (amended). A sample holder according to [any one of claims 1 to 8] claim 1 of which the heating means is an RF induction heater or a source of microwave energy.

11. (amended). A centrifugal evaporator incorporating a sample holder according to [any one of the preceding claims] claim 1.

CENTRIFUGAL SAMPLE EVAPORATOR WITH DIRECT-HEAT SHIELD AND UNIFORM HEATINGField of the Invention

This invention concerns centrifugal evaporators, which are used to evaporate solvents containing dissolved material, so as to recover the latter in a dry condition.

Background to the Invention

There are occasions on which such material is dissolved in different solvents, (or in mixtures of two or more solvents in different proportions), and is to be recovered by simultaneous evaporation of the solvents. One example is the output from a preparative HPLC machine. Where material has been dissolved in a composite solvent having two or more components which vary in proportion from one sample to another (e.g. water and acetonitrile in varying proportions), the solvent can vary in the example given from almost pure water to almost pure acetonitrile. Acetonitrile is more volatile than water and has a lower heat of vaporisation so that it evaporates faster in a centrifugal evaporator leading to differential sample masses during evaporation. This leads to two problems.

Firstly out of balance loads can be generated. Various techniques have been proposed to deal with this first problem.



Secondly there is the possibility of overheating samples which dry before others. This can arise where material is dissolved in solvent mixtures containing a higher proportion of the more volatile component than in other samples. In this situation continued heating of the samples to complete the evaporation of the solvent mixtures containing a higher proportion of the less volatile component can result in the dried material becoming overheated. Samples that are still evaporating will not rise above the temperature of the evaporating liquid, but any dry samples can reach temperatures which are high enough to cause damage to the residue material if precautions are not taken to protect that material.

In one arrangement designed to try and overcome this problem, it has been proposed to mount sample containers in four or more aluminium blocks in swinging buckets in an evaporator, as illustrated in Figure 1. Here the aluminium block is denoted by reference numeral 10, and one of the sample containers by 12. The block is located in a bucket 14 which is free to swing about a pivot 16 so that when the rotor 18 is stationary the buckets hang vertically as shown on the left hand side of Figure 1. When the rotor 18 spins up to speed by rotating the vertical shaft 20, the buckets swing upwardly and outwardly from the position shown on the left hand side of Figure 1, and take up a horizontal position under the influence of centrifugal force, as shown on the right hand side of Figure 1.

Overheating is prevented by sensing the temperature of one of the blocks and controlling the heat input to the rotating assembly so as to maintain the temperature at or below the maximum permissible dry material temperature. Provided the distribution of differing solvent mixtures throughout all the samples in all the blocks is uniform (which unfortunately cannot be guaranteed), this may protect any samples that become dry. But

in cases in which the material is dissolved in solvent mixtures of randomly variable composition (as is more often the case), a block which is not temperature controlled, may contain relatively more samples containing a high proportion of the more volatile component, and all the samples in it may become dry, while samples in the block whose temperature is being controlled may still contain solvent. In those circumstances the block whose temperature is not being controlled, may rise in temperature to a value at which damage can occur to the dry residue sample material therein.

This problem could be overcome by incorporating a temperature sensor in every sample block, and controlling the heat to each block independently so as to keep each block below the maximum permissible temperature. However this can be expensive and difficult to implement especially if there are a large number of sample blocks.

### Object of the Invention

It is an object of the present invention to enable heat to be provided to enhance the evaporation rate of samples of different composition, especially differing solvent composition, which can therefore exhibit different evaporation rates, without allowing samples which dry faster to become overheated if they become dry, while heat is still being supplied to dry samples which evaporate more slowly.

### Summary of the Invention

According to one aspect of the present invention a sample holder for use in centrifugal

evaporators that include heating means to assist in the evaporation process, is formed from a material having high thermal conductivity and is adapted to receive containers each containing a sample to be evaporated, and the containers are mounted in the holder so that neither the sample containers nor their contents can receive heat directly from the heating means, but only from the holder.

In one embodiment the sample holder comprises a block of material having high thermal conductivity, a preferred material for which is aluminium.

Preferably the cross-section of the sample holder is selected to ensure that no appreciable temperature gradients exist in use anywhere in the sample holder.

The sample containers may be mounted directly in the high conductivity material block, or in intermediate holders which are mounted in recesses in the block.

In a single layer evaporator it is feasible to make the sample holder from a single block of high conductivity material. Although it is possible to do this in a multi layer evaporator, it is inconvenient and expensive to do so, and the sample holder in a multi-layer evaporator is preferably made of several sample holders joined by spacing members in such a way as to minimise any temperature difference between adjoining sample holders.

Preferably the sample holders and spacing members have cross-sections chosen so as to keep thermal gradients within the whole structure to a very low value.

The invention also lies in a method of preventing dry sample material from damage by overheating as energy is supplied to evaporate solvent in other samples, in which the samples are contained in containers, which are located in a sample holder as aforesaid, and

the sample containers are shielded from direct heat energy by the sample holder material, and the temperature of the latter is monitored and the heat energy is reduced or cut off if the temperature of the holder rises above a predetermined maximum.

The invention will now be described with reference to Figures 1, 3(a) and 3(b) which show sample holders such as may be used in centrifugal evaporators, and by way of example, with reference to the remaining Figures 2, 4, 5 and 6 of the accompanying drawings.

As previously mentioned, Figure 1 shows an earlier proposal to try and overcome the problem of overheating samples which dry earlier than others in the same batch.

Figure 3a shows a known form of sample holder made from aluminium, wherein sample containers (typically glass tubes) are located in tubular sleeves (which may also be formed from metal, possibly also aluminium) which are supported around a rim of a shallow dish having a thin cross-section. An external source supplies heat to the sleeves and the samples therein, but the sleeves are only thermally linked by the thin cross-section of the rim, which even if formed from aluminium, can still allow a significant temperature gradient to exist between one sleeve and another around the rim.

Dried samples therefore can rise considerably in temperature relative to adjoining samples which will contain liquid (and therefore remain at a lower temperature in the presence of solvent if heating is continued to dry samples in which solvent remains. Such rises in temperature can result in overheating of the dried samples and damage thereto.

Two or more heaters such as 27 may be positioned around the block 22, in place of a single heater as shown. Likewise the, or each, heater may take any convenient form and may for example comprise one or more sources of radiant heat energy, or microwave

energy, or an RF induction coil may extend substantially therearound.

An optical pyrometer 36 senses the temperature of the block remotely through a window in the vacuum chamber wall. Alternatively a temperature sensor may be mounted in or on the block with means to transmit signals containing temperature information to a receiver outside the vacuum chamber. Decoding the pyrometer output, or signals from the remote receiver, may be used to generate signals to control the operation of the heater(s) so as to maintain the temperature of the block(s) at high enough value, to allow rapid solvent evaporation, but below that at which damage will occur to the sample material. This is achieved since even when the contents of a tube become completely dry, the tube and its now dry residue content still cannot exceed the temperature of the aluminium block, and by controlling the heat energy with reference to the block temperature, so any dried sample material will be protected.

Another embodiment is shown in Figures 4 and 5. Here sample tubes 38 are carried snugly in openings in intermediate aluminium blocks 40, one of which is illustrated in Figure 4. The intermediate blocks are fitted into recesses 42 in the main rotatable block 44, so as to angle the sample tubes at between 35° and 65°, typically 45°, to the axis of the vertical shaft 46.

The main block 44, with intermediate blocks 40 in place, is then spun up to speed and subjected to vacuum, while heat is directed to the underside of the main aluminium block, as illustrated by heater 50, (or to an edge thereof by an alternatively located heater 51). The temperature of the main block 44, and therefore the maximum temperature of the

intermediate blocks 40, is monitored and in turn controlled, using signals from an optical pyrometer 48, and a temperature control system, such as described above in relation to Figure 2.

Figure 6 shows a development of the arrangement shown in Figure 5, in which the blocks 40 are mounted in two trays 44a and 44b, which form two layers of a composite assembly. Each layer comprising blocks 40 and tubes 30. The trays are carried on, and spaced apart (vertically), a shaft 46. A solid aluminium spacer 47 is located therebetween in good thermal contact with each of the trays. Heat is supplied by means of two radiation sources 50a and 50b, (although one heat source may be sufficient and if desired, more than two could be used). An optical pyrometer 48 monitors the temperature of one of the layers. By selecting the spacer 47 to have sufficient mass and sufficiently good thermal contact with the two layers, both the latter will remain at substantially the same temperature in use, so that by controlling the heat input to one and thereby ensuring its temperature does not exceed a safe value, so the other will also be maintained at a safe temperature, in relation to any dried sample material thereon.



# Claims

1. A sample holder for use in centrifugal evaporators that include heating means to assist the evaporation process, wherein the sample holder is formed from a material having high thermal conductivity, and is adapted to receive containers each containing a sample to be evaporated, and the containers are mounted in the holder, so that neither the latter sample containers nor their contents can receive radiant heat directly from the heat source, but only from the holder.
2. A sample holder according to claim 1 which is in the form of a block of high thermal conductivity material.
3. A sample holder according to either claim 1 or claim 2 which is formed from aluminium.
4. A sample holder according to any one of the preceding claims of which the cross-section is selected so that in use no appreciable temperature gradients exist therein during evaporation.
5. A sample holder according to any one of the preceding claims having sample containers mounted directly therein.
6. A sample holder according to any one of claims 1 to 4 in which the sample containers are mounted in one or more intermediate holders which in turn are mounted in recesses in the sample holder.
7. A sample holder according to any one of the preceding claims in which the sample containers are held at a fixed angle to the vertical between 35° and 65° and orientated

such that the force exerted on the contents when the centrifugal evaporator rotates them tends to retain the contents in the containers.

8. A sample holder according to any one of the preceding claims in combination with at least one other sample holder to form a stack with a spacing member between adjoining holders in good thermal contact therewith, so that the temperature of one holder will tend to be the same as the temperature of the other.

9. A sample holder according to any one of the preceding claims of which the heating means is a source of radiant heat.

10. A sample holder according to any one of claims 1 to 8 of which the heating means is an RF induction heater or a source of microwave energy.

11. A centrifugal evaporator incorporating a sample holder according to any one of the preceding claims.

12. A method of heating samples in a centrifugal evaporator to assist in evaporating solvent therefrom and leave dry residue sample material, previously dissolved in the solvent, comprising the steps of mounting the samples in good thermal contact with a mass of high thermal conductivity material forming a sample holder, and supplying energy to heat the sample holder and in turn the samples, wherein the sample holder shields the samples from direct heat energy, whereby the samples receive no heat directly, but only via the mass of high thermal conductivity material forming the sample holder.

13. A method of preventing early to dry samples from overheating as energy is supplied to evaporate solvent in other samples, in which the sample containers are located in a sample holder comprising a mass of highly thermally conductive material whereby temperature gradients within the assembly are minimised and the existence of liquid in samples which are still evaporating prevents dried sample material from reaching a temperature at which damage can occur to the material, and wherein the sample

containers are shielded from direct heat energy by the sample holder material, and the temperature of the latter is monitored and the heat energy is reduced or cut off if the temperature of the holder rises above a predetermined volume.

14. A sample holder for use in a centrifugal evaporator, constructed, arranged and adapted to be mounted therein, substantially as herein described by way of example with reference to, and as illustrated in, Figures 2, 4, 5 and 6 of the accompanying drawings.

15. A method of controlling the heating of samples in a centrifugal evaporator to assist in the evaporation of solvent therefrom, substantially as herein described with reference to and as illustrated in Figures 2, 4, 5 and 6 of the accompanying drawings.

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization  
International Bureau



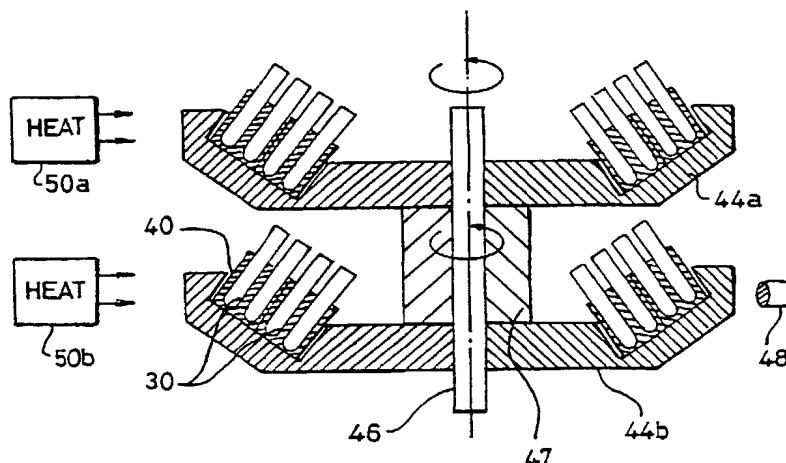
(43) International Publication Date  
18 January 2001 (18.01.2001)

PCT

(10) International Publication Number  
**WO 01/04600 A1**

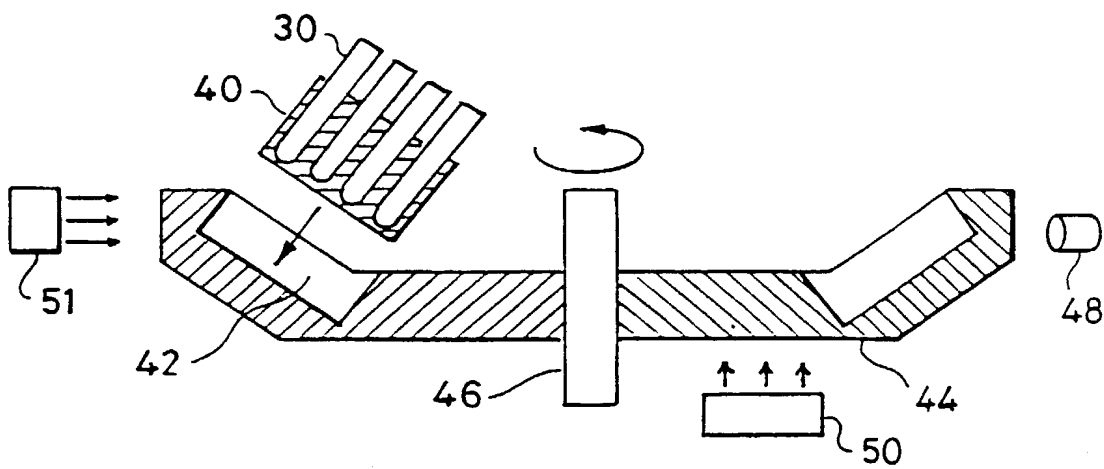
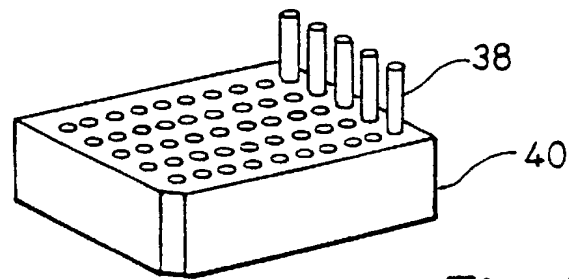
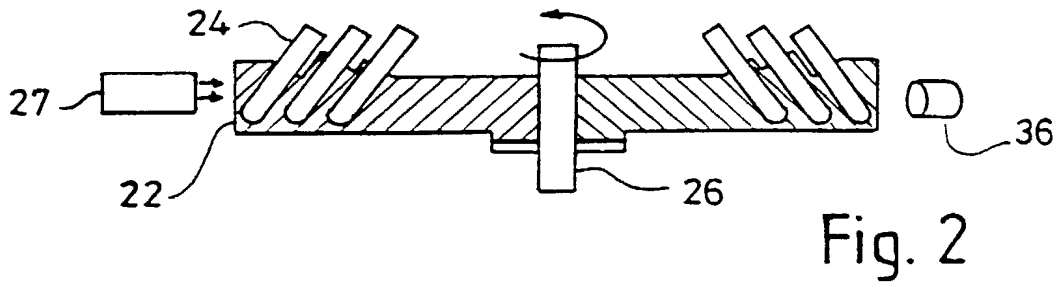
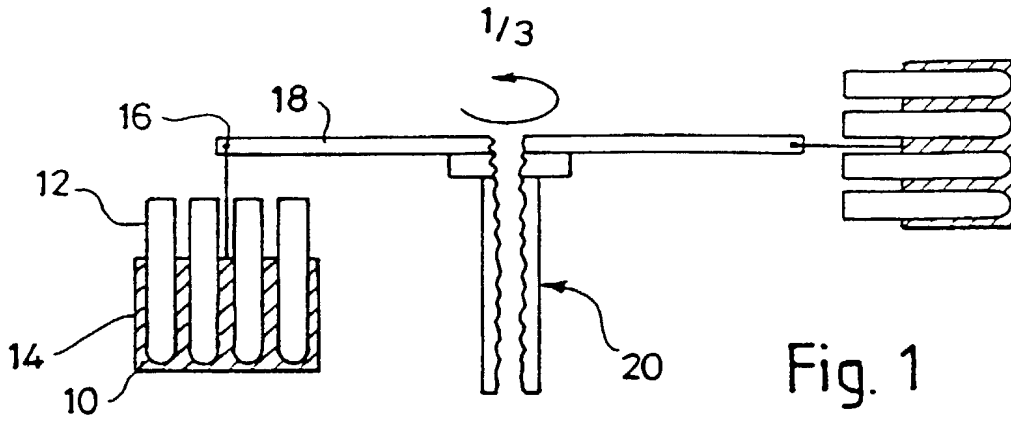
- (51) International Patent Classification<sup>7</sup>: **G01N 1/40 //**  
B01D 1/30, 1/00, B04B 15/12
- (72) Inventor; and  
(75) Inventor/Applicant (for US only): **COLE, Michael**  
[GB/GB]; Moor Farm, Friston, Saxmundham IP17 1NH  
(GB).
- (21) International Application Number: **PCT/GB00/02624**
- (74) Agent: **KEITH W NASH & CO.**; 90-92 Regent Street,  
Cambridge CB2 1DP (GB).
- (22) International Filing Date: **7 July 2000 (07.07.2000)**
- (25) Filing Language: **English**
- (81) Designated States (national): **JP, US.**
- (26) Publication Language: **English**
- (84) Designated States (regional): **European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).**
- (30) Priority Data: **09 Jan 02/30 mos**  
9916028.5 9 July 1999 (09.07.1999) **GB**  
9930157.4 22 December 1999 (22.12.1999) **GB**
- Published:**  
— *With international search report.*
- (71) Applicant (for all designated States except US): **GENEVAC LTD.** [GB/GB]; The Sovereign Centre,  
Farthing Road, Sproughton, Ipswich IP1 5AP (GB).
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

(54) Title: CENTRIFUGAL SAMPLE EVAPORATOR WITH DIRECT-HEAT SHIELD AND UNIFORM HEATING



(57) Abstract: A sample holder is described, for use in centrifugal evaporators, which permits accelerated evaporation of solvents from samples while ensuring that samples from which evaporation is complete are not damaged by overheating. The sample holder is radiantly heated and has sample containers mounted either directly in it, or in intermediate holders themselves mounted in it. The sample holder imparts heat to the samples and has a cross-sectional area chosen such that no appreciable temperature gradient exists between the radiantly or otherwise heated surfaces of the holder and the sample containers. The sample containers are mounted in the holder at an angle between 35° and 65° to the vertical and orientated such that centrifugal force tends to retain the sample material in the containers. The sample holder may be combined with other holders in a stack, the joints between adjacent holders in the stack being adapted to minimise the temperature difference across them.

WO 01/04600 A1



2/3

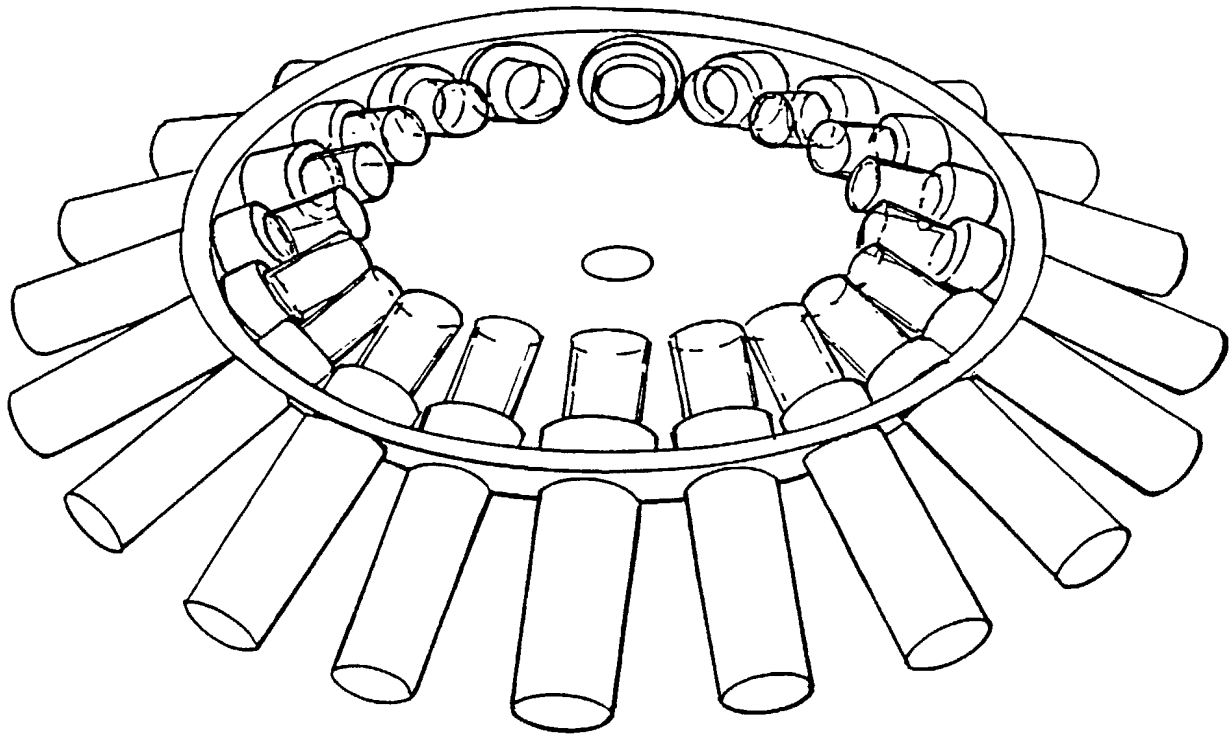


Fig. 3a

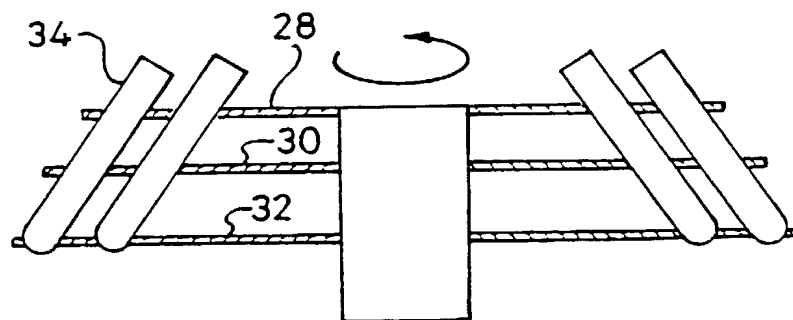


Fig. 3b

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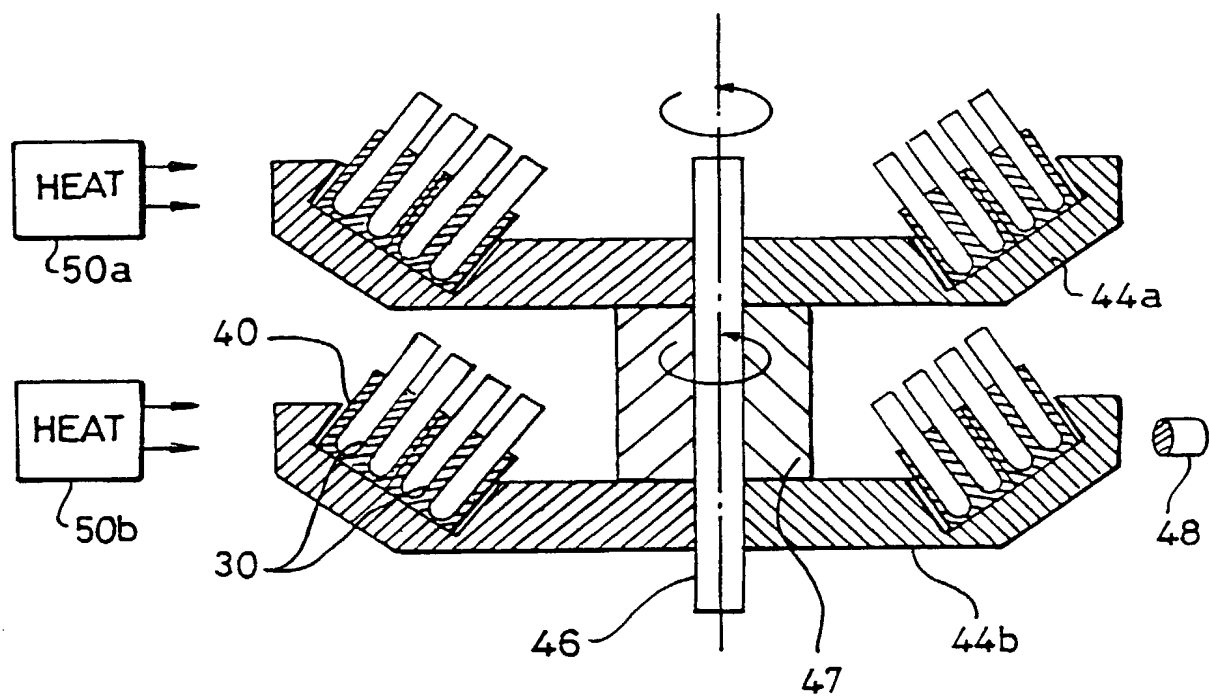


Fig. 6



# DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated  
below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original,  
first and joint inventor (if plural names are listed below) of the subject matter which is claimed and  
for which a patent is sought on the invention entitled Centrifugal Evaporator with

Direct-Heat Shield and Uniform, the specification of which:  
Heating

\_\_\_\_\_ is attached hereto.

X was filed on 7 JULY 2000 as

Application Serial No. PCT/GB00/02624

and was amended on \_\_\_\_\_ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification,  
including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this  
application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119 of any  
foreign application(s) for patent or inventor's certificate listed below and have also identified below  
any foreign application for patent or inventor's certificate having a filing date before that of the



with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: Michael COLE

Signature *Michael Cole* Date 3/1/2002

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Full name of joint inventor: \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

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Full name of joint inventor: \_\_\_\_\_

Signature \_\_\_\_\_ Date \_\_\_\_\_

Country of Residence: \_\_\_\_\_

Country of Citizenship: \_\_\_\_\_

Post Office and Residence Address: \_\_\_\_\_

application on which priority is claimed:

**PRIOR FOREIGN APPLICATION(S)**

<u>Country</u>	<u>Number</u>	<u>Date Filed</u>	<u>Priority Claimed</u>	
			<u>Yes</u>	<u>No</u>
<u>GB</u>	<u>9916028.5</u>	<u>09.07.99</u>	<u>X</u>	<u>    </u>
<u>GB</u>	<u>9930157.4</u>	<u>22.12.99</u>	<u>X</u>	<u>    </u>
<u>    </u>	<u>    </u>	<u>    </u>	<u>    </u>	<u>    </u>

I hereby claim the benefit under Title 35, United States Code Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

<u>Application Serial No.</u>	<u>Filing Date</u>	<u>Status</u>
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<u>    </u>	<u>    </u>	<u>    </u>
<u>    </u>	<u>    </u>	<u>    </u>

And I hereby appoint Wm. Marshall Lee, Registration No. 16,853, John M. Mann, Registration No. 17,775, Thomas E. Smith, Registration No. 18,243, Dennis M. McWilliams, Registration No. 25,195, James R. Sweeney, Registration No. 18,721, William M. Lee, Jr., Registration No. 26,935, Glenn W. Ohlson, Registration No. 28,455, David C. Brezina, Registration No. 34,128, Jeffrey R. Gray, Registration No. 33,391, Timothy J. Engling, Registration No. 39,970, Gregory B. Beggs, Registration No. 19,286, Gerald S. Geren, Registration No. 24,528 and Peter J. Shakula, Registration No. 40,808 as my attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith. It is requested that all communications be directed to Lee, Mann, Smith, McWilliams, Sweeney & Ohlson, P.O. Box 2786, Chicago, Illinois 60690-2786, telephone number (312) 368-1300.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made